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Substitute for form 1449B/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Complete if Known		
			Application Number	09/897,755	
			Filing Date	07/03/2001	
			First Named Inventor	Peng Cho TANG	
			Group Art Unit	1826	
			Examiner Name	Sonya N. Wright	
			Attorney Docket Number	034536-0107	
Sheet	1	of	1		

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Documents	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ²
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
KS	A1	EP	0 252 713	B1	PFIZER INC.	09-12-1990		

NON PATENT LITERATURE DOCUMENTS				
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²	
KS	A2	DESIMONI et al., "Catalysis with Inorganic Cations. V. ¹ Intramolecular Hetero Diels-Alder versus Ene Reactions: Effect of Magnesium Perchlorate on Chemoselectivity," <u>Tetrahedron</u> , 1996, pp. 1200-12018. Vol. 52, No. 38, Elsevier Science Ltd.		
KS	A3	GIBSON et al., "Cleavage of Halogenobenzophenones by Potassamide in Ammonia; New Routes to Xanthen- and Thioxanthen-9-ones," <u>J.C.S. Perkin I</u> , 1975, pp. 155-160		
KS	A4	KULKA et al., "t-Butyl as a Blocking Group in the Synthesis of o-Hydroxybenzophenones," November 5, 1954, pp. 5469-5471		

Examiner Signature	Kamal Saeed	Date Considered	08/03/04
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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FORM PTO-1449

LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S
INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

38602-1220

SERIAL NO.

09/897,755

APPLICANT:

Peng Cho Tang et al.

FILING DATE:

7/3/01

GROUP:

1626

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME	CLASS	SUB CLASS	FILING DATE
PS	AA	2	9	6	8	5	5	7	01/17/81	Burgard et al.			
	AB	5	4	0	9	9	4	9	04/95	Buzzetti et al.			
	AC	5	3	9	7	7	8	7	03/14/95	Buzzetti et al.			
	AD	5	3	7	4	6	5	2	12/20/94	Buzzetti et al.			
	AE	5	1	2	4	3	4	7	06/23/92	Connor et al.			
	AF	5	3	3	0	9	9	2	07/19/94	Eisenstat et al.			
	AG	5	4	6	3	0	5	2	10/31/95	Haga et al.			
	AH	2	8	7	2	3	7	2	02/03/59	Hill			
	AI	5	2	0	6	2	6	1	04/93	Kawaguchi et al.			
	AJ	4	8	6	8	3	0	4	09/19/89	Larock et al.			
	AK	5	3	8	2	5	9	3	01/95	Le Baus			
	AL	5	2	1	7	9	9	9	06/08/93	Levitold			
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	AN	4	8	2	6	8	4	7	05/02/89	Michel et al.			
	AO	5	0	5	1	4	1	7	03/24/91	Nadler et al.			
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	AQ	4	8	5	3	4	0	3	08/01/89	Shiraishi et al.			
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ES	AW	4	9	6	6	8	4	9	10/30/90	Vallee et al.			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
													YES	NO
ES	AX	0	5	6	6	2	6	6	15.1.93	EPO (Baker et al.)				
ES	AY	9	4	1	4	8	0	8	07.07.94	WOPCT (Buzzetti et al.)				
ES	AZ	0	5	2	5	4	7	2	03.02.93	EPO (Buzzetti et al.)				
ES	BA	9	5	0	1	3	4	9	12.01.95	WOPCT (Buzzetti et al.)				
ES	BB	9	6	1	6	9	6	4	06.06.96	WOPCT (Buzzetti et al.)				
ES	BC	0	6	6	2	4	7	3	12.07.95	EPO (Buzzetti et al.)				


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EXAMINER INITIAL		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION	
		YES	NO											
KS	AA	0	6	2	6	3	7	7	11/30/94	EPO (Haga et al.)	—	—		
	AB	9	7	3	6	8	6	7	10/09/97	WO PCT (Howard)				
	AC	9	2	8	6	7	7	7	11/04/97	Japan				
	AD	9	5	1	4	6	6	7	06/01/95	WO PCT (Masamune)				
	AE	3	3	1	0	8	9	1	09/27/84	Germany (Michel et al.)				
	AF	3	4	2	6	4	1	9	01/23/86	Germany (Michel et al.)				
	AG	9	7	2	5	9	8	6	07/24/97	WO PCT (Sato et al.)				
KS	AH	0	7	8	8	8	9	0	08/13/97	EPO (Vanmadel)	—	—		

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)			
KS	AI	Howard, Provisional Patent Application No. 60/015,134 filed March 29, 1996 for "Lactam Derivatives"	

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FS	BD	9	6	0	0	2	2	6	04.01.96	WOPCT (Buzzetti et al.)			
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	BI	9	2	2	1	6	6	0	10.04.92	WOPCT (Dow)			
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	CA	2	1	5	9	3	6	1	14.06.73	Germany (Scheer et al.)			
	CB	2	1	5	9	3	6	2	14.06.73	Germany (Scheer et al.)			
	CC	2	1	5	9	3	6	3	14.06.73	Germany (Scheer et al.)			
	CD		8	3	5	4	7	3	18.05.60	Great Britain (Senior)			
	CE	8	8	0	7	0	3	5	22.09.88	WOPCT (Shinkai et al.)			
	CF	9	2	0	3	7	3	6	06.05.92	WOPCT (Spada et al.)			
	CG	2	3	2	1	6	5	6	15.11.73	Germany (Tomlinson)			
	CH	1	3	8	4	5	9	9	19.02.75	Great Britain (Tomlinson)			
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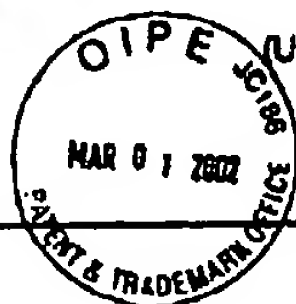
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OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

FS	CJ	Abramovitch et al., "A Novel Synthesis of a Cyclic Hydroxamic Acid Involving a Molecular Rearrangement," <u>Chemistry and Industry</u> 44:1871 (1967)
	CK	Abramovitch and Hey, "Internuclear cyclisation," <u>J. Chem. Soc.</u> pp. 1697-1703 (1954)
	CL	Akbasak and Suner-Akbasak et al., "Oncogenes: cause or consequence in the development of glial tumors," <u>J. Neurol. Sci.</u> 111:119-133 (1992)
	CM	Andreani et al., "Synthesis and cardiotonic activity of 2-Indolinones," <u>Eur. J. Med. Chem.</u> 25:187-190 (1990)
	CN	Andreani et al., "Synthesis and cardiotonic activity of 2-Indolinones bearing pyridyl groups," <u>Eur. J. Med. Chem.</u> 28:653-657 (1993)
	CO	Andreani et al., "Synthesis of lacatams with potential cardiotonic activity," <u>Eur. J. Med. Chem.</u> 28:825-829 (1993)
	CP	Andreani et al., "Synthesis and cardiotonic activity of pyridylmethylene-2-Indolinones," <u>Eur. J. Med. Chem.</u> 27:167-170 (1992)
	CQ	Arteaga et al., "Blockade of the type I somatomedin receptor inhibits growth of human breast cancer cells in athymic mice," <u>J. Clin. Invest.</u> 84:1418-1423 (1989)
	CR	Autrey and Tahk, "The Synthesis and Stereochemistry of Some Isatylideneacetic Acid Derivatives," <u>Tetrahedron</u> 23:901-917 (1967)
	CS	Bahner et al., "Benzylideneindenes with Oxygen Attached to the Indene Ring," <u>J. Med. Chem.</u> 12:721-722 (1969)
	CT	Bamfield et al., "Diels-Alder Reactions of Oxindolylideneacetone," <u>J. Chem. Soc. (C)</u> pp. 1028-1030 (1966)
	CU	Baserga, "Oncogenes and the strategy of growth factors," <u>Cell</u> 79:927-930 (1994)
	CV	Baserga, "The Insulin-like growth factor I receptor: a key to tumor growth?" <u>Cancer Res.</u> 55:249-252 (1995)
	CW	Blake and Jaques, "Anisotropic Effects in alpha-substituted methoxystilbenes," <u>J. Chem. Soc. Perkin II</u> pp. 1660-1663 (1973)
	CX	Bolen et al., "The Src family of tyrosine protein kinases in hemopoietic signal transduction," <u>FASEB J.</u> 6:3403-3409 (1992)
	CY	Bolen, "Nonreceptor tyrosine protein kinases," <u>Oncogene</u> 8:2025-2031 (1993)
	CZ	Borsche et al., "Über nickernige kondensierte systeme mit heterocyclischen ringen," <u>Liebig Ann. Chem.</u> 550:160-174 (1941)
	DA	Buzzetti et al., "Cinnamamide Analogs as Inhibitors of Protein Tyrosine Kinases," <u>Il Farmaco</u> 48:615-636 (1993)
	DB	Canola and Rodriguez, "Synthesis of Oxindole Derivatives from N-Alkenyl-o-Chloroanilides with Zero-Valent Nickel Complex," <u>J. Heterocyclic Chem.</u> 22:1511-1518 (1985)
	DC	Chatten et al., "Substituted Oxindoles. Part VI. Polarographic Reduction of Substituted trans-3-benzylideneindol-2(3H)-ones," <u>J. Chem. Soc. Perkin II</u> pp. 469-473 (1973)
	DD	Coda et al., "(Z)- and (E)-Arylidene-1,3-dihydroindol-2-ones: Configuration, Conformation and Infrared Carbonyl Stretching Frequencies," <u>J. Chem. Soc. Perkin II</u> pp. 615-619 (1984)
FS	DE	Coppola et al., "A functional insulin-like growth factor I receptor is required for the mitogenic and transforming activities of the epidermal growth factor receptor," <u>Mol. Cell. Biol.</u> 14:4588-4595 (1994)


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	APPLICANT: Peng Cho Tang et al.	
	FILING DATE: 7/3/01	GROUP: 1623


KS	DF	Daisley, "Thin-layer chromatographic separation of some substituted 3-benzylidene-indol-2(3H)-ones," <u>J. Chromatography</u> 100:240-242 (1974)
	DC	Dati et al., "Inhibition of c-erbB-2 oncogene expression by estrogens in human breast cancer cells," <u>Oncogene</u> 5:1001-1006 (1990)
	DH	De Vries et al., "The <i>fms</i> -Like Tyrosine Kinase, a Receptor for Vascular Endothelial Growth Factor," <u>Science</u> 255:989-991 (1992)
	DI	Decker and Lohmann-Matthes, "A quick and simple method for the quantitation of lactate dehydrogenase release in measurements of cellular cytotoxicity and tumor necrosis factor (TNF) activity," <u>J. Immunol. Methods</u> 15:61-69 (1988)
	DJ	Dickson et al., "Tyrosine kinase receptor-nuclear protooncogene interactions in breast cancer," <u>Cancer Treatment Res.</u> 61:249-273 (1992)
	DK	Elliott and Rivers, "Reduction of some oxindolylidene derivatives to 3-substituted oxindoles by sodium borohydride," <u>J. Org. Chem.</u> 29:2438-2440 (1964)
	DL	Fanti et al., "Distinct Phosphotyrosines on a Growth Factor Receptor Bind to Specific Molecules That Mediate Different Signaling Pathways," <u>Cell</u> 69:413-423 (1992)
	DM	Fendly et al., "Characterization of Murine Monoclonal Antibodies Reactive to Either the Human or Epidermal Growth Factor Receptor or HER2/neu Gene Product" <u>Cancer Research</u> 50:1550-1558 (1990)
	DN	Ferrara and Henzel, "Pituitary Follicular Cells Secrete a Novel Heparin-Binding Growth Factor Specific for Vascular Endothelial Cells," <u>Biochem. Biophys. Res. Commun.</u> 161:851-858 (1989)
	DO	Fingl and Woodbury, Chapter 1, pp.1-46 in <u>The Pharmacological Basis of Therapeutics</u> (5th edition), eds. Goodman et al., MacMillan Publishing Co., Inc., New York (1975)
	DP	Floege et al., "Factors involved in the regulation of mesangial cell proliferation <i>in vitro</i> and <i>in vivo</i> ," <u>Kidney International</u> 43S:47-54 (1993)
	DQ	Folkman and Shing, "Angiogenesis," <u>J. Biol. Chem.</u> 267:10931-10934 (1992)
	DR	Folkman, "What is the Evidence that Tumors are Angiogenesis Dependent?" <u>Journal of the National Cancer Institute</u> 82:4-6 (1990)
	DS	Goldring, "Cytokines and cell growth control," <u>Critical Reviews in Eukaryotic Gene Expression</u> 1:301-326 (1991)
	DT	Gottardis et al., "Estradiol-Stimulated Growth of MCF-7 Tumors Implanted in Athymic Mice: A Model to Study the Tumorstatic Action of Tamoxifen," <u>J. Steroid Biochem.</u> 30(1-6):311-314 (1988)
	DU	Hewgill and Stewart, "Phenanthrene-4,5-quinones: a Synthesis of Morphenol," <u>J. Chem. Soc. Perkin Trans. I</u> pp. 1305-1311 (1988)
	DV	Hodges et al., "Chemical and biological properties of some oxindolyl-3-methines," <u>Canadian J. Chemistry</u> 46:2189-2194 (1968)
	DW	Honegger et al., "Point Mutation at the ATP Binding Site of EGF Receptor Abolishes Protein-Tyrosine Kinase Activity and Alters Cellular Routing," <u>Cell</u> 5:199-209 (1987)
	DX	Houck et al., "Dual Regulation of Vascular Endothelial Growth Factor Bioavailability by Genetic and Proteolytic Mechanisms," <u>J. Biol. Chem.</u> 267:26031-26037 (1992)
	DY	Howard et al., "Synthesis and aldose reductase inhibitory activity of substituted 2(1H)-benzimidazolone- and oxindole-1-acetic acids," <u>Eur. J. Med. Chem.</u> 27:779-789 (1992)
KS	DZ	Ijaz et al., "The Conversion of α,β -Dinitrostyrenes into Indoles and the Preparation of Oxindole Quinones," <u>J. Chem. Res. (S)</u> pp. 116 (1990)

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KS	EA	Jellinek et al., "Inhibition of Receptor Binding by High-Affinity RNA Ligands to Vascular Endothelial Growth Factor," <u>Biochemistry</u> 33:10450-10456 (1994)
	EB	Katritzky et al., "Color and Constitution. Part 8(1). Some Novel Dyestuffs Containing Indoxyl Residues," <u>J. Heterocyclic Chem.</u> 25:1287-1292 (1988)
	EC	Kendall and Thomas, "Inhibition of vascular endothelial cell growth factor activity by an endogenously encoded soluble-receptor," <u>Proc. Natl. Acad. Sci. USA</u> 90:10705-10709 (1993)
	ED	Khalil and Abdel-Rahman, "Synthesis of New Mero- and Asymmetrical Pyrazolo-Monomethine Cyanine Dyes," <u>J. Indian Chem. Soc.</u> 54:904-907 (1977)
	EE	Kim et al., "Inhibition of vascular endothelial growth factor-induced angiogenesis suppresses tumour growth <i>in vivo</i> ," <u>Nature</u> 362:841-844 (1993)
	EF	Kinsella et al., "Protein Kinase C Regulates Endothelial Cell Tube Formation on Basement Membrane Matrix, Matrigel," <u>Exp. Cell Research</u> 199:52-62 (1992)
	EG	Klagsbrun and Soker, "VEGF/VPF: the angiogenesis factor found!" <u>Current Biology</u> 3:699-702 (1993)
	EH	Kobayashi et al., "Anti-tumor Activity of Indole Derivatives," <u>Yakugaku Zasshi</u> 97(9):1033-1039 (1977)
	EI	Koch et al., "SH2 and SH3 Domains: Elements That Control Interactions of Cytoplasmic Signaling Proteins," <u>Science</u> 252:668-674 (1991)
	EJ	Korc et al., "Overexpression of the epidermal growth factor receptor in human pancreatic cancer is associated with concomitant increases in the levels of epidermal growth factor and transforming growth factor alpha," <u>J. Clin. Invest.</u> 90:1352-1360 (1992)
	EK	Korzeniewski and Callewaert, "An Enzyme-Release Assay for Natural Cytotoxicity," <u>J. Immunol. Methods</u> 64:313-320 (1983)
	EL	Kovac and Steltnova, "Furan derivatives LXXX. Synthesis and properties of substituted furfurylidenoindoles," <u>Chem. zvesti</u> 30:484-492 (1976)
	EM	Kumbae et al., "Amplification of alpha-platelet-derived growth factor receptor gene lacking an exon coding for a portion of the extracellular region in a primary brain tumor of glial origin," <u>Oncogene</u> 7:627-633 (1992)
	EN	Larock and Babu, "Synthesis of Nitrogen Heterocycles via Palladium-catalyzed Intramolecular Cyclization," <u>Tetrahedron Letters</u> 28:52991-5294 (1987)
	EO	Lee and Donoghue, "Intracellular retention of membrane-anchored v-sis protein abrogates autocrine signal transduction," <u>J. Cell. Biol.</u> 118:1057-1070 (1992)
	EP	Macauley et al., "Autocrine function for insulin-like growth factor I in human small cell lung cancer cell lines and fresh tumor cells," <u>Cancer Res.</u> 50:2511-2517 (1990)
	EQ	Mariani et al., "Inhibition of angiogenesis by PCE 26806, a potent tyrosine kinase inhibitor," <u>Experimental Therapeutics - Proceedings of the American Association for Cancer Research</u> 35:181 at abstract no. 2268 (March 1994)
	ER	Martin-León et al., "On the Cyclization to the Elusive Amino-4H-pyran Ring," <u>Liebigs Ann. Chem.</u> pp. 101-104 (1990)
	ES	Mirand et al., "A Synthetic Entry in the Aristotelia Alkaloids," <u>J. Org. Chem.</u> 47:4169-4170 (1982)
	ET	Mosmann, "Rapid Colorimetric Assay for Cellular Growth and Survival: Application to Proliferation and Cytotoxicity Assays," <u>J. Immunol. Methods</u> 65:55-63 (1983)
KS	EU	Neber and Rocker, "Ueber die einwirkung von benzaldehyden auf die freie o-aminophenyl-essigsäure," <u>Chem. Ber.</u> 56:1710-1717 (1923)

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	APPLICANT: Peng Cho Tang et al.	
	FILING DATE: 7/3/01	GROUP: 162

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	EX	Osborne et al., "Effect of Estrogens and Antiestrogens on Growth of Human Breast Cancer Cells in Athymic Nude Mice," <u>Cancer Research</u> 45:584-590 (1985)
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	EZ	Pavlenko et al., "Introduction of aminomethyl groups into heterocyclic CH-acid molecules," <u>Dopov. Akad. Nauk Ukr. RSR</u> 7:64-66 (1980)
	FA	Plate, "Vascular endothelial growth factor is potential tumor angiogenesis factor in human gliomas in vivo," <u>Nature</u> 359:845-848 (1992)
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	FC	Ruveda and Gonzalez, "Geometric isomerism in benzylideneoxindoles," <u>Spectrochimica Acta</u> 26A:1275-1277 (1970)
	FD	Rygaard and Povlsen, "Heterotransplantation of a Human Malignant Tumour to 'Nude' Mice," <u>Acta path. microbiol. scand.</u> 77:758-760 (1969)
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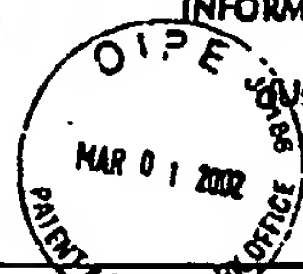
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KS	AP	Zaman et al., "Tyrosine Kinase Activity of Purified Recombinant Cytoplasmic Domain of Platelet-Derived Growth Factor β -Receptor (β -PDGFR) and Discovery of a Novel Inhibitor of Receptor Tyrosine Kinases," <u>Biochemical Pharmacology</u> 57(1):57-64 (1999)
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KS	AL	WO 96/32380	10/17/96	WO/PCT (Battistini)				
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	APPLICANT: Peng Cho Tang et al.	
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KS	AM	WO 97/25986	07/24/97	WO/PCT (Sato)			X	
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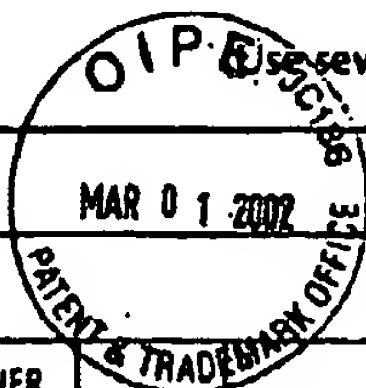
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	APPLICANT: Peng Cho Tang et al.	
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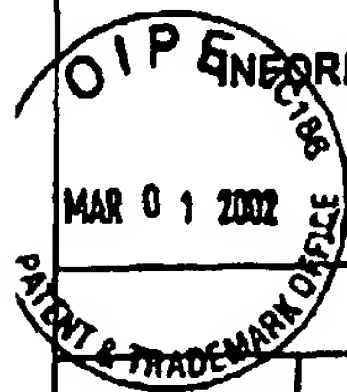
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INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)				APPLICANT Peng-Cho TANG, et al.			
				FILING DATE 07/03/2001		GROUP ART UNIT 1626 USPTO_ART_UNIT01	
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EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB- CLASS	FILING DATE IF APPROPRIATE
KS		5,886,020	3/23/99	Tang et al.			
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KS	A2	4,053,613	Oct 11, 1977	Rovnyak, et al.	424	246	Sep 17, 1975
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KS	A4	WO 98 07695 A	Feb 26, 1998	Europe	—	—	X	

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EXAMINER Kamal Saeed	DATE CONSIDERED 08/03/04
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* **EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include any copy of this form with next communication to applicant.